



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Harald Bock et al.  
Appl. No.: 09/801,400  
Conf. No.: 4054  
Filed: March 7, 2001  
Title: SYSTEM FOR SUPPRESSING INSTABILITIES IN AN OPTICAL  
WAVELENGTH DIVISION MULTIPLEX RING NETWORK  
Docket No.: 112740-191

#6

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

U.S. PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
5,727,163	3-10-98	Bezos

FOREIGN PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Country</u>
EP 0 814 589	12-29-97	European

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY

William E. Vaughan

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Phone: (312) 807-4292

Dated: October 9, 2003

2633

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
112740-191

In Re Application of **Harald Bock et al.**

Serial No.  
09/801,400

Filing Date  
March 7, 2001

Examiner  
Unknown

Group Art Unit  
Unknown

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**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

# TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

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## Payment of Fee

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(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
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\*This certificate may only be used if paying by deposit account.

Signature

Dated: October 9, 2003

William E. Vaughan (Reg. No. 39,056)

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Telephone: (312) 807-4292

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